THE NORTHERN TERRITORY LOCAL RULES OF RACING

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This book replaces all previous issues

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TABLE OF CONTENTS

DEFINITIONS ..... - 3 -
APPLICATION OF THESE RULES ..... - 4 -
SPECIAL POWERS OF THE COMMITTEE OF THE RACE CONTROL BODY ..... - 4 -
STEWARDS ..... - 5 -
APPEALS ..... - 6 -
REGISTRATION OF CLUBS AND MEETINGS ..... - 7 -
DUTIES OF REGISTERED CLUBS ..... - 8 -
ACCEPTANCES ..... - 8 -
NOMINATION AND ACCEPTANCE FEES ..... - 9 -
BALLOTING ..... - 9 -
EMERGENCIES ..... - 10 -
DIVIDED RACES ..... - 10 -
NOMINATIONS AND ENTRIES ..... - 11 -
STAKES AND FORFEITS ..... - 12 -
BARRIER TRIALS ..... - 12 -
LICENSING - GENERAL ..... - 12-
TRAINER ..... - 13 -
DUAL LICENSE HOLDER ..... - 16 -
JOCKEY AND RIDER ..... - 16-
RIDING FEES ..... - 16 -
APPRENTICE CLAIMS ..... - 17 -
APPRENTICE ..... - 18 -
STABLEHAND ..... - 19 -
BOOKMAKERS ..... - 20 -
GEAR ..... - 20 -
COLOURS ..... - 21 -
WEIGHTS, PENALTIES AND ALLOWANCES ..... - 21 -
SCRATCHING ..... - 23 -
RIDERS ..... - 23 -
WEIGHING OUT ..... - 24 -
STARTING ..... - 24 -
OFFENCES ..... - 23 -
FINES ..... - 25 -
ADVERTISING ..... - 25 -
FATALITY OF HORSE / DESTRUCTION OF PROPERTY ..... - 25 -
NEW RULES ..... - 26 -

DEFINITIONS

NT 1. In the interpretation of these Rules (and of any program of a race meeting held thereunder), the following words, unless the context otherwise requires, shall have or include meanings as follows;

"Approved Medical Officer" means an appropriately qualified medical practitioner approved by the Board.

"Australian Rules of Racing" means and includes the rules adopted by the Australian Racing Board and such additions, amendments or deletions as shall be made from time to time.

"Barrier Trial" means a trial on the flat under the control or supervision of the Stewards.

"Country Area" means the area outside a radius of 40 kilometres from the General Post Office Darwin and/or the Post Office Alice Springs.

"Course" means any race course or training ground over which the Race Control Body or any other Club has or exercises control, management or supervision for racing or training purposes, whether such control, management or supervision is permanent, temporary or intermittent and, when the context permits, any parking or other area which is used by any Club in connection with racing and the administrative offices of the Race Control Body or any Club.

"Entry" includes **"Nomination"**.

"Handicap" is a race in which the weights the horses carry are to be adjusted, after the time limited for entering, according to the judgement of the person/s appointed to frame the handicap upon the merits of the horses, for the purpose of equalising their chances of winning.

"Horse" includes mare, gelding, colt or filly.

"Metropolitan" means those races run in the Northern Territory which have Group or Listed status

"Official" means and includes a Member of the Committee, Secretary, Steward, Veterinary Surgeon, Handicapper, Judge, Starter, Clerk of the Course, Clerk of the Scales, Barrier Attendant, employee, servant or agent of a Club or Association and also any other person appointed by the Race Control Body or Stewards to perform any service or act or to carry out any duty for a Club or Association.

"Race Control Body" means the same as Principal Racing Authority as defined under the Australian Rules of Racing.

"Rider" means any person who is licensed as a Jockey, Apprentice to ride in races, Approved Rider or who is allowed to ride trackwork under the Rules.

"The Rules" or **"The Northern Territory Local Rules of Racing"** means and includes the Australian Rules of Racing and the Local Rules of Racing and Rules of Betting incorporated as one set of rules and read, construed and interpreted as one.

A **"Weight-for-Age Race"** means a race in which weights are apportioned to horses according to their age and sex, and remains a weight-for-age race even if there are penalties and allowances or the race be confined to horses of the same age.

APPLICATION OF THESE RULES

NT2 The Local Rules shall come into operation on the first day of 1 March, 2020 and any other Local Rules of Racing previously in force shall be repealed as from that day.

NT4 The provisions of Australian Rules of Racing 4 and 8 shall apply *mutatis mutandis* to these Local Rules.

NT5 (1) A person breaches these Local Rules if:

(a) A rule expressly provides as such;

(b) The person is required to do something under a rule but does not; or

(c) The person is prohibited from doing something under a rule but does it.

(2) If a person breaches any of these Local Rules the person may be penalised, regardless of whether or not the rule expressly provides that the person may be penalized.

SPECIAL POWERS OF THE COMMITTEE OF THE RACE CONTROL BODY

NT6 The Race Control Body shall have power:-

(a) At any time before eight o'clock in the morning of the day on which any race meeting is to be held, to postpone such meeting;

(b) To direct any person to make a Statutory Declaration touching any matter which is or may be the subject of enquiry by the Race Control Body or by any person authorised in that behalf by the Race Control Body;

(c) To delegate to any member of the Race Control Body or to any Official of the Race Control Body or any other person any power or authority vested in the Race Control Body under the Rules which is not expressly required by the Rules to be exercised by the Race Control Body itself;

(d) To warn off any course any person whose presence thereon is, in the opinion of the Race Control Body, not desirable;

(e) To publish a Racing Calendar containing such information and particulars relating to racing as are required or authorised by the Rules to be published or advertised together with such other information as the Race Control Body may from time to time determine;

(f) To give any direction necessary or expedient in connection with any act matter or thing not provided for by the Rules;

(g) Generally to carry the Rules into effect and, without limiting the generality of such power, to give any direction or to make any regulation which the Race Control Body may deem necessary or expedient for the purpose;

NT7 The Race Control Body shall from time to time appoint Stewards to act at race meetings held by registered racing Clubs.

NT8 The Race Control Body shall fix the terms and conditions of the appointment of the Stewards and shall appoint one of them to be Chairman of Stewards and may also from time to time appoint a Deputy Chairman of Stewards.

NT9 The Race Control Body may;

(a) Second one or more Stewards employed by another Race Control Body and appoint them to act as Stewards for the purposes of the Rules

(b) Define the term of office and remuneration of such Stewards.

STEWARDS

NT10 The powers conferred by the Rules on the Stewards shall be exercisable by the Chairman of Stewards and such other Stewards as are present and act at such meeting. If the Chairman of Stewards is not present, one of the Stewards so present shall act as the Chairman of Stewards.

NT11 (a) No race meeting shall be held unless there shall be present at least two Stewards able and willing to act. If at any time on the day of such meeting there be less than two such Stewards, then the Steward so present and able and willing to act or the Committee of the Club conducting the meeting shall appoint a Deputy or Deputies sufficient in number to enable the meeting to be held or continued.

(b) If no Steward be present at such meeting or be able and willing to act, the Secretary of the Club shall nominate two persons to act as deputy Stewards.
(c) Two Stewards shall form a quorum.

(d) At all meetings or proceedings of the Stewards the Chairman for the time being shall have a casting as well as deliberative vote.

(e) Any power to make a declaration or decision affecting betting and vested in the Stewards by a Rule made by the Licensing Commission or other lawful authority shall be exercisable by the Stewards acting at the race meeting concerned.

NT12 The Stewards may exclude or reject from all places under their control any person who is liable to expulsion under the Rules of Racing or Rules of Betting of the Northern Territory or under any regulation or resolution of the Race Control Body or the Club, whether such resolution apply to general classes of persons or to particular persons or offences.

NT13 If at any race meeting any act matter or thing shall arise which is not provided for by the Rules it shall be determined by the Stewards in such manner as they shall think fit.

NT14 The Stewards may alter the distance of any race, provided that no race shall be varied by more than 200 metres.

NT15 (1) The Stewards may at any time require any licensed jockey, or any person granted permission to ride in races or track work, to undergo a physical and/or medical assessment by an "Approved Medical Officer"

(2) If in the opinion of the Stewards any such assessment is unsatisfactory, insofar as race riding or track riding is concerned, they may suspend the license or permit of such jockey or permit holder.
(3) Any rider who fails or refuses to submit to any such fitness or medical assessment procedure when required to do so may be punished by the Stewards.

NT16 The Stewards may at the request of the rider defer for a period of no longer than four (4) days, the commencement of any suspension imposed by them upon a rider, provided that such rider has confirmed riding engagements on one of these days.

APPEALS

NT17 (a) In accordance with *section 85(a) of the Northern Territory Racing and Wagering Act 2024* there is no right of appeal against a decision of the Stewards or a Race Club to impose a fine of $500 or less.

(b) Any person who is aggrieved by a decision of the Stewards may appeal to the Race Control Body by lodging with the Race Control Body within four (4) days after such decision, a Notice of Appeal in writing setting out the grounds thereof. A deposit of $200 must accompany such notice.

(c) Alternatively, when the decision of the Stewards is to:

(i) Impose a fine greater than $5000; or

(ii) Impose on a person a disqualification or suspension for a period longer than three (3) months; or

(iii) Impose on an animal a disqualification or suspension and includes a penalty or sanction on a person; or

(iv) Warn off a person for more than 12 race meetings; or

(v) Impose on a person a fine greater than $1000 combined with a disqualification or suspension exceeding one (1) month.

The aggrieved person may appeal to the Independent Appeals Tribunal, by lodging a Notice of Appeal accompanied by a fee of $200, within seven (7) days of such decision with the Secretary of the Tribunal. Any such appeal will be conducted within the terms of the *Northern Territory Racing and Wagering Act 2024*.

NT18 Where on, or subsequent to, the lodging of an appeal to the Race Control Body, the appellant makes application under the provisions of ARR 282 to the Race Control Body to suspend the operation of a decision of the Stewards pending the hearing of such appeal, there will be no additional fee charged.

NT19 Until the hearing of the appeal or the rehearing of the case, the decision of the Stewards shall be valid and in force unless otherwise ordered by the Race Control Body.

NT20 (1) Should an appellant wish to be represented by counsel at the hearing of an appeal, the appellant must make application to the Race Control Body at the time of lodging the Notice of Appeal

(2) The Race Control Body may appoint counsel to instruct the Race Control Body or to represent the Stewards at any appeal.

NT21 Upon notice of any appeal being lodged, the Stewards shall deliver to the Secretary of the Race Control Body as soon as possible, a true copy of the transcript of evidence taken before them.

NT22 The Race Control Body shall cause to be given to the appellant and to the Stewards such notice of the time and place appointed for the hearing of the appeal as the Race Control Body deems sufficient but the Race Control Body may hear and determine the appeal notwithstanding the absence of the appellant or the Stewards or any of them.

NT23 (a) The Race Control Body may hear the appeal either upon the notes of evidence taken by the Stewards or upon the case stated by the aggrieved person and the Stewards, or they may rehear the case upon affidavits or statutory declarations or on *viva voce* evidence, or in any way they think fit, either in the presence of the parties and Stewards or in the absence of them or either of them.

(b) Except by leave of the Race Control Body, no fresh evidence shall be allowed at the hearing of any appeal

(c) The Race Control Body may quash, set aside, alter, vary, increase or add to the punishment imposed by or confirm the decision of the Stewards or refer the case on appeal back for rehearing or the decision for reconsideration, and they shall have power to draw inferences of fact and to give any judgement or decision and make such order as in their opinion the justice of the case requires and may make such order as they deem fit with reference to the deposit or deposits lodged.

NT24 (a) The powers aforesaid may be exercised by the Race Control Body not withstanding that in the Notice of Appeal only part of the decision may have been objected to or sought to be reviewed, varied or otherwise dealt with.

(b) The powers aforesaid may also be exercised against any or all other person or persons who may be considered by the said Race Control Body to be implicated, although he, she or they may not have appealed from such decision, or may not have been dealt with by such Stewards, and in the exercise of such last-mentioned power, with reference to any person who may not have appealed or have been dealt with by such Stewards, due notice shall be given him by the Race Control Body of their intention to investigate and deal with the matter in relation to him.

NT25 An appeal once lodged may only be withdrawn with the permission of the Race Control Body.

NT26 The decision of the Race Control Body shall be final.

REGISTRATION OF CLUBS AND MEETINGS

NT27 Every application to register a Club shall be made in accordance with the Northern Territory Racing and Wagering Act 2024.

DUTIES OF REGISTERED CLUBS

NT28 No registered Club shall conduct a race meeting unless a program of such meeting together with such other particulars relating to the meeting as the Race Control Body may require is advertised in the Racing Calendar.

NT 29 No registered Club shall conduct a race meeting unless the race meeting and the program for such meeting have been approved by the Race Control Body which may attach conditions to such approval including a condition that the registered Club shall pay to the Race Control Body such sum as the Race Control Body shall specify for each day.

NT30 No registered Club shall fail to hold a race meeting on the day fixed by the Race Control Body unless such meeting has been postponed or abandoned in accordance with the Rules.

NT31 No person who is the holder of any license or permit issued by the Race Control Body in accordance with the Rules shall be eligible to be elected or appointed or shall act as;

a) A member of the Committee of the Race Control Body

b) Steward, Judge, Handicapper or Clerk of Scales in respect of any meeting or race held or run under the Rules. Except in cases of necessity to properly conduct the race meeting as required and approved by the Stewards on the day.

ACCEPTANCES

NT32 All declaration of acceptances shall be made by the nominator, trainer or by the authorised agent of either of them in such form or by such means and shall be done by such time as prescribed by the Race Control Body.

NT33 If there are less then 5 acceptors for a race, the prescribed closing time for the declaration of acceptances may be extended by thirty (30) minutes.

NT34 In the event that any race is deleted at acceptance time, any horse/s which were accepted for such race which were also nominated for another race on the programme will be deemed to be accepted for the remaining race.

NT35 No horse shall be permitted to start in two races on any one day. Should any horse remain in more than one race on any one day it shall be scratched from all but one race on that day at the discretion of the Club or Stewards at the time set down for scratchings.

NT36 (a) No horse shall remain in the field at the time set down for acceptances for more than one race on any day except in the case of an emergency acceptor, which may remain in the field for another race provided that no other horse is balloted out due to it remaining in more than one race.

(b) The provisions of part (a) of this rule shall not apply to acceptors that are declared an emergency in the following races:

Darwin (Carnival): Darwin Guineas, Chief Minister's Cup, Toyota Cup, NT Derby, Metric Mile, Darwin Corporate Park Cup, Palmerston Sprint, Darwin Cup.

Darwin (non-Carnival): St Patrick's Day Cup.

Alice Springs (Carnival): Alice Springs Guineas, Chief Minister's Cup, Pioneer Sprint, Alice Springs Cup.

NOMINATION AND ACCEPTANCE FEES

NT37 For all race meetings run in the Northern Territory nomination and acceptance fees as approved by the Race Control Body shall apply and will be advertised along with the program for each racemeeting in the Racing Calendar.

BALLOTING

NT38 Where the number of horses remaining in any race at acceptance time exceeds the number set down by the Race Control Body or Stewards and a decision has been made not to divide the race, the Committee of the Club or the Stewards may, except where special balloting conditions have been specified, implement any or all of the following conditions in the order set down to eliminate horses until the limit is met provided that;

(a) Any horse accepted for more than one race at any race meeting may be eliminated from any of such races

(b) Any horse accepted for more than one meeting to be held on the same day may be eliminated for any such meetings

NT39 In handicap races elimination shall be effected in order from the horse or horses with the lowest handicap weight upwards;

(i) Horses on the lowest handicap weight will be eliminated first in the order set down by the handicapper with the last named horse being eliminated first, then the next to last and so on

(ii) In races where the prizemoney is less than $30,000, if the handicapper regards two or more horses on the minimum weight as being equal in elimination order they will be bracketed together

(iii) Should it become necessary to ballot any of two or more horses bracketed together, elimination shall be by lot

(iv) When two or more horses are handicapped above the minimum at the same weight, horses shall be eliminated according to age, oldest first

(v) Horses on the same handicap weight above the minimum and of the same age shall be eliminated by lot.

(vi) When the conditions of a race provide for penalties for wins after the declaration of weights, the handicapper may promote a horse in the elimination order instead of imposing additional weight by way of penalty.

NT40 In set weight races restricted by age, elimination shall be effected in the following order:

(a) Horses which at the time set down for acceptances, have received the least amount of aggregate prize money for having placed first, second, third, fourth or fifth in any race will be the first eliminated, and so on until the safety limit is reached.

(b) In the case of horses which have received the same amount of prize money, ballot shall be by lot.

(c) Provided that in applying these conditions, no accounts shall be taken of the TROBIS bonuses or any other bonuses.

NT41 The balloting conditions for set weight maidens will be as follows;

To be balloted in the following order;
First Out – Raced horses which have not won prizemoney in their last four starts on the flat. The horse with the greatest number of consecutive runs since winning prizemoney must be eliminated first according to age, oldest first and in the case of horses of the same age elimination must be by lot
Second Out – Raced horses which have received the lowest aggregate prizemoney within their last four starts on the flat. Horses which have equal aggregate prizemoney must be eliminated by lot
Third Out – Unraced horses which will be eliminated by lot

NT42 In Set Weight Open races elimination shall be effected in the order from the horse or horses with the lowest rating first and so on until the safety limit is reached. In the case of horses on the same rating, elimination will be the oldest first, in the case of horses on the same rating and of the same age, elimination will be by lot.

NT43 Notwithstanding any of the foregoing conditions, the Committee of the Club may formulate special balloting conditions for any specified race or races or may, in its absolute discretion, eliminate any horse or horses from a race and/or exclude any horse or horses from the operation of any or all of the preceding elimination conditions.

EMERGENCIES

NT44 The Race Control Body reserves the power to make provision for the naming of horses as “Emergency Runners” subject to any ballot provided that such Emergency Runners shall not exceed three in number in any race and further provided that any Emergency Runner which has not gained a place in the field by 8.00 am on the day of the race, or such other time as the Race Control Body may from time to time determine, shall automatically be withdrawn at such time.

DIVIDED RACES

NT45 (a) Any race may be divided where the Race Control Body or such person/s who are authorised to do so determine that such race shall be divided into two or more divisions and, in addition, in what order the divisions shall be run.

(b) Horses will be allocated to divisions by the Race Control Body or such person/s authorised to do so in the following manner.

(i) By placing them in the respective divisions alternately in order of weights, including penalties incurred after the declaration of weights

(ii) Where two or more horses are to carry the same weight, the allotment of such horses shall be alternated in alphabetical order

(iii) Where two or more horses are nominated by the same owner, or are trained by one Trainer for different owners, they may, where practicable, be placed in different divisions, by exchanging the lowest weighted horse in each case with another horse on or as near as possible to the same weight in another division.

(iv) No liability shall be incurred by any Club, the Race Control Body or any authorised person as a consequence of or by reason of any departure, whether intentional or otherwise, from this practice

(c) When it is determined that a race is to be run in divisions, the Race Control Body shall make such direction in regard to additional prizemoney as it sees fit, provided that the prizemoney allocated to each division, and the proportion allotted for each placegetter, shall be the same in each instance.

NOMINATIONS AND ENTRIES

NT46 Unless with the permission of the Stewards, any horse which has not started in a race for a period of 12 months must compete satisfactorily in a barrier trial.

NT47 If any horse shall run in a race contrary to any order of the Race Control Body made under the Rules, such horse shall *ipso facto* be disqualified for and be incapable of winning any race or any part of the prizemoney provided for such race and the Stewards may:

(a) Disqualify such horse and

(b) Punish the owner or trainer or both of them.

NT48 The Race Control Body reserves the right to;

(a) Transfer the nomination of any horse entered for a race which is or may be deleted from a program. Such transfer must occur prior to the declaration of weights.

(b) Transfer the nomination of any horse that is declared ineligible by the handicapper at the time for nominations. Such transfer must occur prior to the declaration of weights.

(c) Transfer the nomination of any horse that becomes ineligible after entry closing and prior to declaration of acceptances for that race.

NT49 Where there are eight (8) or less nominations at the time set down for close of entries, the Club may extend the time for entries until 9.30 am the following day or such other time as determined by the Club.
Provided that any horse which is an extended nomination will be placed in the order of ballot so as to be balloted prior to any horse which is not.

NT50 (a) No unraced horse shall be permitted to start in a race unless:

(i) It has completed a barrier trial in a manner satisfactory to the Stewards or

(ii) Any clearance received from another Race Control Body includes a certificate to the effect that the horse has completed a satisfactory trial.

NT51 Any person entering or having entered a horse for any race may be required by the Race Control Body or the Stewards to declare by statutory declaration the truth of all particulars contained in the entry;

(a) If such person shall fail to make such declaration or it shall be proved to the satisfaction of the Stewards that the declaration or any part thereof is untrue then

(i) The Race Control Body or the Stewards may declare that the entry of such horse is invalid

(ii) Such person may be punished by the Stewards

(iii) All stakes, entrance monies or fees paid for or in respect of such entry shall be forfeited to the Club.

NT52 For races run in the Northern Territory after the 30th September and prior to the 1st January a Two Year Old may start in a race for which horses over the age of two years are eligible to run, provided such race is not over a distance in excess of 1000 metres.

STAKES AND FORFEITS

NT53 All moneys payable under Australian Rules 54, 56 and 93 in respect of any horse nominated in any race shall, except with the permission of the Stewards or the Race Control Body, be paid into the Club's office at least one hour before the advertised starting time of such race.

BARRIER TRIALS

NT54 The conditions set out for the conduct of barrier trials in the Northern Territory will be approved and promulgated by the Race Control Body and published in the Racing Calendar.

LICENSING - GENERAL

NT55 All new applicants for a licence or permit with the Race Control Body must present a current National Police Clearance (NPC), valid VISA or a clearance from another Principal Racing Authority (if currently licensed). At the time of submitting an application a receipt confirming that a NPC has been requested will be accepted and the Race Control Body may give interim approval for any licence or permit pending the presentation of the NPC.

NT56 (a) It is a condition of any Licence or Permit that the licensee or permit holder must notify the Race Control Body within 7 days if they are:

(i) Charged with a criminal offence, or

(ii) Convicted of a criminal offence, or

(iii) Made bankrupt or becomes the subject of a court judgement requiring payment to a racing industry participant or provider

(b) Any licensee who fails to comply with sub rule (a), may be penalised.

NT57 (1) Subject to sub rule (2) the Race Control Body may for such period and on such conditions as it thinks fit, disqualify a person who is convicted of a crime or an offence in any State or Territory of Australia or any other country.

(2) Any person who is convicted of a crime or offence in any State or Territory of Australia or in any other country and sentenced to a period of imprisonment shall be disqualified by the Stewards for the same period as the term of imprisonment.

(3) To remove any doubt, sub rule (2) shall apply where the term of imprisonment is suspended by a court.

NT57A For the purposes of these Rules the Stewards have delegated authority by the Race Control Body to act in accordance with NT57 (2) & (3).

TRAINER

NT58 No person shall within the Northern Territory train any horse on a racecourse, or, as a Trainer, enter or cause any horse to be entered for a trial or a race at any race meeting unless he is the holder of a Trainer License, Dual License, Restricted Permit to Train or Owner-Trainer Permit issued by the Race Control Body or as a Visiting Trainer is the holder of a License or Permit issued by another Principal Racing Authority and has produced a clearance from that Principal Racing Authority.

NT59 Applications on the prescribed form for Trainer License, Dual License, Restricted Permit to Train or Owner-Trainer Permit must be made annually to the Race Control Body and must be accompanied by such fee as the Race Control Body shall determine from time to time.

NT60 All Licenses or Permits to Train shall expire on the thirtieth day of April each year.

NT61 The holder of an Owner-Trainer Permit may only train horses under the following conditions:

(a) A person holding an owner/trainer license may train only horses owned or leased solely or in partnership with members of the person's direct family. For the purpose of this rule direct family shall mean - parents, spouse, children, siblings or grandchildren over the age of 18 years. Spouse includes a de facto partner of the person. For the purpose of this policy, de facto means where two persons are living together as a couple on a genuine domestic basis and have been for a reasonable period of time. Couples do not include co – tenants.

(b) An owner/trainer is not permitted to train for syndicates.

NT62 The Race Control Body or Stewards may punish any person holding a Trainer License, Dual License, Permit to Train or an Owner-Trainer Permit who;

(a) Neglects or refuses to comply with any condition imposed by the Race Control Body upon the granting of such License or Permit, or

(b) Knowingly employs or accepts the assistance of any disqualified person.

NT63 The trainer of any horse which is placed first, second, third, fourth or fifth in any race run in the Northern Territory shall be paid ten percent of the value of the prize (if any) won by such horse. Such amount shall be deducted from the prizemoney payable to the owner by the Race Control Body, and shall be paid by the Race Control Body to the Trainer. For the purpose of this Rule the value of the prize shall be its advertised value, but shall not include the value of any trophy awarded under the conditions of the race.
Provided that no percentage shall be paid to the Trainer of any TROBIS Bonus payable to the nominator or vendor in respect of any horse.

NT64 If a trainer is unable to attend a race meeting at which a horse trained by them is racing and unless they have a properly registered foreperson to act on their behalf, they shall;

(a) Advise the Stewards of such absence before *8.00am* on the day the horse is to run and lodge a written authority for an appropriately licensed person to be in charge of the horse on that day.

The person to whom such responsibility is delegated shall not have the authority to further delegate such responsibility and shall acknowledge their acceptance of such responsibility in writing.

NT65 A trainer shall at all times be responsible for the administration and conduct of their stable, and the care, control and supervision of the horses in their stable. Should a trainer be absent from their stable for a period longer than forty-eight hours, they shall advise the Stewards of such intended absence, and, with their permission and approval, deputise an appropriately licensed person to be in charge of such stable during their absence.

The person to whom such responsibility is delegated shall not have the authority to further delegate such responsibility, and shall acknowledge his acceptance of such responsibility in writing. Such delegation shall not relieve the trainer of their overall responsibilities for the administration and conduct of their stable and the care, control and supervision of horses in their stable.

NT66 For the purpose of NT 64 and/or NT 65 and/or this rule an “appropriately licensed person” means any person who holds a license or permit in accordance with the rules. In addition to that requirement, in the case of a trainer holding a permit in the NT applying to authorize/deputise an appropriately licensed person, such person must have successfully completed the requirements of the Certificate III (Advanced Stablehand Racing) course or another course that, in the opinion of the Stewards has at least equivalent requirements.

NT67 The practice of leading two or more riderless horses at the same time is prohibited.

NT68 (1) In accordance with AR165(1) unless the trainer has been otherwise instructed by the Stewards, any horse engaged to race is required to be brought to the on course stalls area no later than one hour prior to the advertised start time of such race.

(2) The trainer, or person nominated by the trainer to be in charge of any horse engaged to race is required to notify the Stewards as soon as practicable if they are unable to have the horse in the on course stalls by the prescribed time.

DUAL LICENCE HOLDER

NT68 For the purpose of this rule a “Dual Licence” means a licence granted by the Race Control Body which licenses the holder to train horses as a Trainer and also ride horses for reward in races as a Jockey both during the currency of that licence.

NT69 No person shall be entitled to apply for a Dual Licence, unless they have been licensed as a Jockey for a minimum period of 2 years immediately prior to making application for such licence and are classed as a resident of the Northern Territory.

NT70 A Dual Licence holder is not eligible to:

(a) Be granted permission to train in partnership with another trainer under AR98A

(b) Be granted an Owner Trainer Permit

(c) Have any ownership interest in any horse

(d) Have an Apprentice Jockey indentured or placed on loan to them

NT71 The following provisions apply to a Dual Licence Holder:

(a) The holder of a Dual License who is suspended for a breach of the Rules of Racing as a jockey, the Stewards may, in the exercise of their discretion, and in addition to any other penalty imposed by the Rules, also suspend that Dual Licence Holder in their capacity as a trainer for the same, or for such other, period as the Stewards may determine

(b) The holder of a Dual License who is suspended for a breach of the Rules of Racing as a trainer, the Stewards may, in the exercise of their discretion, and in addition to any other penalty imposed by the Rules, also suspend that Dual Licence Holder in their capacity as a jockey for the same, or for such other, period as the Stewards may determine

(c) In the event that the holder of a Dual License is the trainer of a horse engaged in a race they may ride that horse but shall not be declared for, or ride, any other horse in that race, and furthermore may be required to ride his or her own horse if a shortage of riders exists.

(d) In the event that a Dual License holder has multiple runners engaged in a race and is riding one of them, they must advise the Stewards of the riding tactics and instructions for each runner prior to the weigh out of the race.

(e) If a horse (“Subject Horse”) which is trained by a Dual Licence Holder changes stables and is thereafter trained by another trainer, that Dual Licence Holder may not ride the Subject Horse or any other horse entered to race in the same race as the Subject Horse in the 28 days immediately following the change of stables without express permission of the Stewards

(f) A Dual Licence Holder who has a horse(s) entered in a race, and who is declared to ride any horse at that race meeting must:

(i) Engage the services of another trainer or licensed person approved by the Stewards to act on their behalf with respect to the saddling of the horse(s) prior to the event

(ii) Not conduct any activities at the race course associated with the training of that horse(s) from the time they are required to enter the Jockey room in accordance with AR128(1) and until after they have concluded all riding commitments that day and vacated the Jockeys room in accordance with AR128(2)

(iii) Upon vacating the Jockeys room at the conclusion of all riding commitments not return to the Jockey room unless express approval is given by the Stewards

JOCKEY AND RIDER

NT72 Every application for a License shall be made on the form prescribed for that purpose and shall be accompanied by such fee as shall from time to time be determined by the Race Control Body;

(a) Each applicant shall furnish the Race Control Body such information as the Race Control Body may require, and will be required to furnish a medical history and examination record from an "Approved Medical Officer"

(b) All Licenses shall expire on the thirtieth day of April in each year

(c) The Race Control Body may refuse to grant any License or may at any time cancel any License before the termination of the year for which it was granted without giving any reason therefor

(d) The Race Control Body may impose such terms and conditions on any applicant as they think fit, may require him to ride work regularly for a period, and/or ride in barrier trials before considering any application for a License, or may impose any restrictions as to locality in which he may ride, or persons for whom he may ride, as they think fit.

NT73 Except with the permission of the Stewards, no person shall be allowed to ride in official barrier trials or races unless that person is at least fourteen (14) years of age.

NT74 No rider shall smoke a cigarette, cigar or any smoking device at any time whilst mounted on a horse.

RIDING FEES

NT75 In the absence of a special agreement lodged with the Race Control Body, the following riding fees shall be payable in respect of races run in the Northern Territory:

(a) A riding fee of $300.00 (plus superannuation guarantee)

(b) In addition to (a):

(i) The rider of any horse which is placed first, second, third, fourth, fifth or sixth in any race run in the Northern Territory shall be paid five (5) per cent of the value of the prizemoney (if any) won by such horse. Such amount shall be deducted from the prizemoney payable to the owner by the Race Control Body, and shall be paid by the Race Control Body to the rider.

(ii) Provided that no percentage shall be paid to the rider of any TROBIS Bonus payable to the nominator or vendor in respect of any horse

(c) For the purpose of this rule, the value of the prizemoney shall not include any trophy awarded under the conditions of a race, or any money awarded to a person other than the nominator.

NT76 In the absence of a special arrangement lodged with the Race Control Body, a riding fee of $25.00 shall be payable in respect of barrier trials run in the Northern Territory. The fee shall continue to be paid to the rider by the Race Control Body acting on behalf of the owner of the horse using money which the Race Control Body shall collect from the owner or the owner's agent.

APPRENTICE CLAIMS

NT77 For all races run at meetings covered by the TAB, the following shall apply to apprentices in respect of Minimum Riding Weight (MRW);

(a) Each apprentice is required to declare to the Stewards in writing their MRW

(b) Any apprentice who wishes to alter his/her MRW must lodge a formal request sanctioned by their host trainer with the Stewards prior to acceptance time for the race meeting at which they intend to ride

(c) If any apprentice is unable to ride at their MRW they may be replaced as the rider and/or punished

NT78 Further to the provisions of AR143, in any handicap race, unless otherwise provided by the conditions of the race, where the advertised prizemoney is $35,000 or less, any Apprentice permitted to ride under the Rules shall, while so permitted, be entitled to claim an allowance on the scale set out in AR143.

NT79 (a) For races run at Fannie Bay Racecourse and Pioneer Park Racecourse, only winning rides at metropolitan and provincial racecourses will be taken into account.

(b) For races run at all other racecourses, all winning rides will be taken into account.

NT80 Every apprentice and/or his or her host trainer shall present his Record of Winning Rides Book for inspection and/or endorsement by the Stewards at every meeting the Apprentice presents to ride at. Should any Apprentice not present this Record of Winning Rides card for inspection he may be refused permission to ride on that day.

APPRENTICE

NT81 (a) Any person holding a Trainer License, Restricted Permit to Train or Owner-Trainer Permit issued by the Race Control Body may apply to the Race Control Body for approval to indenture an Apprentice

(b)The Secretary of the Race Control Body may apply through the Race Control Body to the Northern Territory Training Authority for approval to indenture an apprentice to the Club

(c) Any such application shall be accompanied by a completed copy of the Articles of Apprenticeship in a form acceptable to the Race Control Body or the Northern Territory Training Authority, a written certificate of a qualified medical practitioner that he has medically examined the apprentice on a date not more than seven days prior to the date of the Articles and that the Apprentice is in all respects medically fit to perform his duties as an Apprentice and such references as to character or otherwise as the Race Control Body may require.

(d) The wages payable to an apprentice by the host trainer shall, subject to any statutory law for the time being in force in the Northern Territory, be fixed by resolution of the Race Control Body from time to time.

NT82 (a) The Race Control Body may refuse to register any Articles and may at any time by written notice to the parties notify their intention to cancel any Articles if in their opinion either the host trainer or the apprentice shall have failed to carry out their obligations. In addition if either party has committed any act or been guilty of any conduct as a result of which the Race Control Body considers it undesirable for the apprenticeship to continue. In lieu of cancellation, the Race Control Body may punish the offenders.

(b) In the case of any Apprentice indentured to the Club, the functions of the Race Control Body referred to in NT 82(a) will be undertaken by the Northern Territory Training Authority.

NT83 In all matters pertaining to the employment of an Apprentice indentured to the Club, the Secretary shall be deemed to be the Manager in terms of the Articles of Apprenticeship and shall make all such arrangements as he or she considers necessary for the daily work and riding engagements for the Apprentice. Once the apprentice secures a riding engagement, the trainer of the horse ridden by the apprentice shall be deemed to be the host trainer in respect of any matter arising out of the race and will represent the apprentice at all inquiries.

NT84 A Permit granted to any apprentice to ride in races may be issued subject to such terms and conditions as the Race Control Body deems fit to impose and, without limiting the generality of the foregoing words, may be restricted as to its duration or locality. Any such Permit granted expires on the thirtieth day of April each year, and application for its renewal must be made on the prescribed form by the host trainer of the apprentice.

NT85 (1) No person can be indentured as an apprentice unless they meet the following requirements:

(a) Have reached 16 years of age

(b) Have completed a Certificate III in Racing (Trackwork Rider)

(2) An indentured apprentice may only be granted a Permit to ride in races after:

(a) The apprentice has ridden in at least (15) barriers trials to the satisfaction of the Stewards;

(b) The apprentice is deemed competent by the Stewards to commence race riding;

(c) The apprentice has demonstrated that they can ride at a suitable minimum riding weight (MRW)

(3) The four (4) year term of the indentures will commence from the date the apprentice is given a permit to ride in races.

NT86 A Permit granted to an apprentice to ride in races may be withdrawn or suspended at any time by the Stewards or the Committee of the Race Control Body.

NT87 If on the termination of Articles of Apprenticeship the person apprenticed thereunder applies for and obtains a license as a jockey, such person shall not be bound by any engagement made for him during the period of his apprenticeship.

NT88 All engagements for an apprentice to ride in races must be approved by their host trainer. No apprentice shall accept a ride in any race without the approval and consent of either their host trainer or in their absence, the trainer temporarily put in charge by the host trainer.

STABLEHAND

NT89 (a) Every person holding a Trainer License, Permit to Train, Dual License or Owner-Trainer Permit shall register with the Race Control Body. Every person other than a Licensed Jockey or Apprentice, engaged by them in connection with the training or care of racehorses (to be called “employee”)

(b) Every such application shall be on the prescribed form and be accompanied by a fee as prescribed by the Race Control Body.

NT90 The Race Control Body may at its absolute discretion:

(a) Refuse to register such employee;

(b) Register such employee either unconditionally or upon such conditions, as the Race Control Body deems fit to impose;

(c) Revoke or cancel any registration at any time.

NT91 On termination of any employee’s engagement, the employer shall either;

(a) Register a transfer of the employment to another Trainer or person holding a Permit to Train or

(b) Notify the Race Control Body that such employment has been terminated where upon the name of the employee in question shall be removed from the Register and his registration shall be deemed to have ceased from the date of such termination

(c) All registrations shall expire on the thirtieth day of April each year.

NT92 A registered stable hand attendant on a horse at a race meeting must wear a current registration card issued by the Race Control Body and shall be worn so as to be clearly visible to officials.

BOOKMAKERS

NT93 (a) No person shall be permitted to carry on or assist in carrying on the business of a Bookmaker at a race meeting held by any Racing Club unless he has been duly registered as a Bookmaker by the NT Licensing Commission and is the holder of a current permit to act as such issued by the Race Control Body

(b) Each Bookmaker may operate on any racecourse only on a stand provided for that purpose and allocated for such purpose and subject to such conditions as the Committee of the Club may determine. Boards of a specified type shall be used as directed by the Committee of the Club. Stands may be allocated in such manner and in such numbers as the Committee of the Club may from time to time determine

(c) No person shall be permitted to act as a Clerk to any person carrying on the business of a Bookmaker at a race meeting held by any Racing Club unless he is the holder of a current permit to act as such issued by the Race Control Body.

(d) Application for registration as a Bookmaker or a Bookmaker's Clerk must be made to the Race Control Body annually by lodgement of the prescribed form accompanied by such fee as shall be determined by the Race Control Body from time to time

(e) Any Permit to act as a Bookmaker or Bookmaker's Clerk shall expire on the thirtieth day of April each year

(f) The Race Control Body may at any time remove from the Register of Bookmakers or Bookmakers' Clerks the name of any person convicted of an offence under the provisions of the *NT Racing and Wagering Act 2024* or otherwise found by the Race Control Body to have engaged in illegal Bookmaking activities or to have been a defaulter in betting.

GEAR

NT94 Trainers shall be responsible for ensuring that all racing gear provided by them is approved for use and is in proper condition.

NT95 All gear to be used on a horse shall be fitted before it is presented in the mounting yard.

NT96 No gear may be used on any horse unless notification is given to the Stewards by the time as set out in the official racing calendar or such other time as set down by the Stewards. Once notification of the use of any gear has been given, such gear must be carried until similar notification of any change is given.

NT97 The Stewards may order the removal of any gear at any time.

NT98 The removal of blinkers, pacifiers, ear muffs or any combination of this gear in the saddling enclosure subsequent to a race is not permitted except with the express approval of the Stewards.

COLOURS

NT99 Except with the permission of the Stewards, no horse shall start in any race unless the jockey or rider of such horse is wearing racing colours registered by either;

a) The Race Control Body;

b) Another Principal Racing Authority of another Australian State or Territory in which the horse commonly or ordinarily races or;

c) The controlling body of racing in an overseas territory in which the horse commonly or ordinarily races

NT100 The Race Control Body may register

a) Racing colours not registered in the name of any other person

b) The exclusive right of a person to use such racing colours on payment of such registered fee as prescribed by the Race Control Body

c) All registration of colours shall expire at the 31st day of July each year and must be renewed by application on the prescribed form accompanied by such fee as shall be determined by the Race Control Body.

NT101 The Race Control Body in its absolute discretion may refuse to register any racing colours or may grant such registration subject to such terms and conditions, as it thinks fit.

NT102 No rider shall present himself to ride in any race in a set of colours that are not registered to the nominator/s or trainer of their riding engagement.

NT103 If two or more persons declare the same or similar colours in the same race the Stewards may order that one carry different colours or some distinguishing mark.

NT104 The trainer or any other person responsible for such horse at a race meeting may be punished if the rider presents himself for a race in colours;

a) That are not registered

b) Other than those declared at the time of nomination for the race

WEIGHTS, PENALTIES AND ALLOWANCES

NT105 All maiden races run in the Northern Territory will be run at set weights.

Set weight maiden scale is as follows:

August-November

4yo and up Geldings and Entires	- 60.5kg;
4yo and up Mares	- 58.5kg;
3yo Colts and Geldings	- 58.0kg;
3yo Fillies	- 56.0kg.

December-March

4yo and up Geldings and Entires	- 59.5kg;
4yo and up Mares	- 57.5kg;
3yo Colts and Geldings	- 58.0kg;
3yo Fillies	- 56.0kg;
2yo Colts and Geldings	- 56.5kg;
2yo Fillies	- 54.5kg.

April-July

4yo and up Geldings and Entires	- 59.5kg;
4yo and up Mares	- 57.5kg;
3yo Colts and Geldings	- 59.0kg;
3yo Fillies	- 57.0kg;
2yo Colts and Geldings	- 58.0kg;
2yo Fillies	- 56.0kg.

(Amended 23rd March 2020)

NT106 Unless otherwise provided for in the conditions of the race, at the time set down for the declaration of weights the minimum handicap weights shall be:

(a) Where the total prizemoney is $35,000 or less - 55 kg

(b) Where the total prizemoney is more than $35,000 - 54kg

NT107 For all handicap races where the prize money exceeds $70,000, unless otherwise provided for in the conditions of the race, at the time set down for the declaration of weights, the lowest allotted weight shall not be greater than 54kg.

NT108 In all handicap races, unless otherwise provided, after the declaration of weights the winner of any flat race/s is liable to be re handicapped. Such horse shall carry any

additional weight (if any) as the Handicapper shall determine not exceeding in the aggregate 3kg.

SCRATCHING

NT109 Notwithstanding the provision of AR181 no horse shall be withdrawn from any race after the time prescribed for acceptances without the permission of the Stewards. No withdrawal shall be permitted after 8.00 am on the day of a race for any meeting except at the direction of the Stewards.

(1) Withdrawals after the time for acceptances will incur liability for the riding fee as set out in NT75. Also they may be liable for the following fees, unless a veterinary certificate satisfactory to the Stewards is produced;

(a) TAB meeting $100

Or the acceptance fee, whichever is the greater

(2) Provided that at all meetings covered by TAB the following shall apply;

(a) In a race with only 5 acceptors no withdrawal will be permitted without the express approval of the Stewards and a $500 scratching fee may be imposed if the application to withdraw is not supported by a veterinary certificate;

(b) Trainers must notify the Stewards as soon as practicable after any injury/lameness or disability is detected which may require an acceptor to be scratched and a failure to do so may result in a $500 scratching fee being imposed;

(c) If a trainer accepts for a horse which is subsequently scratched and in the opinion of the Stewards the trainer knew or should have known at acceptance time that the horse was unlikely to start, a $500 scratching fee may be imposed.

NT110 In accordance with AR 165(1) unless the trainer has been otherwise instructed by the Stewards, any horse engaged to race is required to be brought to the on course race day stalls area no later than one hour prior to the advertised start time of such race.

RIDERS

NT111 The nominator or trainer (or authorised agent of either) of any horse which is a final acceptor shall declare to Racing Australia the name of the rider engaged for such horse at such time as the Race Control Body shall from time to time direct. Failing such declaration;

(a) The Stewards may order the withdrawal of the horse

(b) The Stewards may punish the nominator and/or trainer

NT112 (a) No riding changes will be permitted subsequent to the time set down for the final declaration of riders without the permission of the Stewards.

NT113 (a) The Stewards may punish any rider who accepts an engagement for more than one horse in any race.

(b) In the event of such duplicate engagement, the Stewards may inquire into and determine which engagement that rider must fulfill and permit a replacement rider for any other such engagement.

NT114 Following the final declaration of riders and subject to the availability of suitable riders, the Stewards may permit the following replacements:

(a) A jockey may be replaced by another jockey or non-claiming apprentice or vice-versa;

(b)Another claiming apprentice may replace a claiming apprentice.

WEIGHING OUT

NT115 (a) No person (other than the officials of a Club and the trainer, jockey or other person having the care of a horse engaged in the race) shall without specific leave of the Stewards, be admitted to the Weighing Room and Enclosure.

(b) No person other than jockeys engaged to ride and officials may enter the Jockey's Room without the specific permission of the Stewards.

NT116 (a) Every jockey or rider who is to ride in a race shall declare to the Clerk of Scales the actual weight his horse will carry in the race.

(b) After weighing out every rider must ensure that the correct saddle cloth number is placed with his/her gear.

STARTING

NT117 As soon as is practicable after the time set down for acceptances, a draw to determine the order in which the horses shall be lined up by the Starter will be effected in a manner approved by the Race Control Body.

NT118 If any horse that should not have been included is so included, or is scratched after the draw, the starters shall take positions relative to those determined by the draw. If any horse that should have been included in the draw is not so included then, after including such horse in the field, a position at the barrier shall be drawn for such horse. The horse in the original draw with the same barrier position and all horses drawn outside that horse shall be moved out one position to accommodate the horse now included.

NT119 A horse shall be deemed to be a starter, and under the Starter's orders, immediately upon the rider of such horse being ordered to line up at or behind the starting point subject to AR204.

NT120 Except with the express permission of the Stewards:

(a) No person other than the rider shall lead or accompany a horse to the starting post

(b) No person other than the riders or jockeys engaged in the race and the Officials of the Club shall be allowed at the starting post or on any part of the racing track.

OFFENCES

NT121 For the purpose of these Rules and the imposition of a penalty under AR 283(6), a special circumstance may apply, if in the opinion of the Stewards, it is in the interest of justice given the special circumstances and conduct of the person in relation to the commission and investigation of the offence.

NT122 Without in any way restricting the application of Australian Rule 131, the Stewards may punish a rider who after his or her mount has passed the winning post up until they have dismounted in the saddling enclosure, makes a celebratory gesture or engages in any similar act, which in the opinion of the Stewards has compromised either their own safety or that of their mount or any other rider or horse in the race.

NT123 Upon a licensed, registered or official person having grounds to suspect the commission of a contravention of a Rule of Racing, he or she shall report the same to the Stewards as soon as practicable.

FINES

NT124 All fines imposed pursuant to the Rules shall be paid to the office of the Race Control Body within 28 days of the imposition of such fine unless the Race Control Body directs payment within some other specific time.

ADVERTISING

NT125 Unless otherwise approved by the Race Control Body, no licensed or registered person shall wear in the saddling enclosure and in the case of a rider, in a race, any item of clothing displaying any advertising, or cause to be displayed on any horse in the saddling enclosure or race any advertising.

FATALITY OF HORSE / DESTRUCTION OF PROPERTY

NT126 Neither the Race Control Body nor any Registered Club nor any official of the Race Control Body or any Registered Club shall be liable for any loss or damage

(a) Arising on the racecourse of the Club concerned or of which it was the Licensee or Lessee or was in occupation at the time;

(c) In connection with the custody or possession of any horse or chattel or the fatality or destruction of it whether such loss or damage be due to any act or omission or to negligence and whether it arose through the state or conditions of the land or to any moveable property on the land or to any other cause whatsoever.

NEW RULES

NT127 The Race Control Body may from time to time:

(a) Consent to the repeal or amendment of or any addition to the Australian Rules of Racing;

(b) Appoint a delegate to represent the Race Control Body at any meeting of the Australian Racing Board Limited;

(c) Repeal or amend any of the Local Rules or Rules of Betting or make new Local Rules or Rules of Betting.

NT128 Any repeal or amendment to any Local Rule or any new Local Rule shall be promulgated by the Race Control Body and published in the Racing Calendar.